1	Climatology of mesopause region temperature, zonal wind and meridional wind
2	over Fort Collins, CO (41°N, 105°W) and comparison with model simulations
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11	Abstract
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12	Between May 2002 and April 2006, many continuous observations of mesopause
13	region temperature and horizontal wind, each lasting longer than 24 hours (termed full-
14	diurnal-cycle observations) were completed at the Colorado State University Na Lidar
15	Facility in Fort Collins, CO (41°N, 105°W). The combined data set consists of 120 full-
16	diurnal-cycle observations binned on a monthly basis, with a minimum of 7 cycles in
17	April and a maximum of 18 cycles in August. Each monthly data set was analyzed to
18	deduce mean values and tidal-period perturbations. After removal of tidal signals,
19	monthly mean values are used for the study of seasonal variations in mesopause region
20	temperature, zonal and meridional winds. The results are in qualitative agreement with

22 mesopause region with an observed summer mesopause of 167 K at 84 km, summer peak

our current understanding of mean temperature and wind structures in the mid-latitude

eastward zonal wind of 48 m/s at 94 km, winter zonal wind reversal at ~95 km, and peak 23 24 summer (pole) to winter (pole) meridional flow of 17 m/s at 87 km. The observed meanstate in temperature, zonal and meridional winds are compared with the predictions of 3 25 26 current general circulation models, i.e., the Whole Atmosphere Community Climate 27 Model version 3 (WACCM3) with two different simulations of gravity-wave fields, the 28 Hamburg Model of the Neutral and Ionized Atmosphere (HAMMONIA), and the 2003 29 simulation of the Thermosphere-Ionosphere-Mesosphere-Electrodynamics General 30 Circulation Model (TIME-GCM). While general agreement is found between observation 31 and model predictions, there exist discrepancies between model prediction and 32 observation, as well as among predictions from different models. Specifically, the 33 predicted summer mesopause altitude is lower by 3 km, 8 km, 3 km, and 1 km for 34 WACCM3 the two WACCM runs, HAMMONIA, and TIME-GCM, respectively, and the 35 corresponding temperatures are 169 K, 170 K, 158 K, and 161 K. The model predicted summer eastward zonal wind peaks to 71 m/s at 102 km, to 48 m/s at 84 km, to 75 m/s at 36 37 93 km, and to 29 m/s at 94 km, in the same order. The altitude of the winter zonal wind 38 reversal and seasonal asymmetry of the pole-to-pole meridional flow are also compared, 39 and the importance of full-diurnal cycle observations for the determination of mean states 40 is discussed.

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45 **1. Introduction**

46 Studies of the MLT (Mesosphere and Lower Thermosphere) have long suffered from 47 the region's inaccessibility both to the highest-flying research balloons and to the lowest 48 orbiting satellites. There is a growing realization that the MLT provides an important link 49 in the vertical transfer of energy and mass in the atmosphere [Jarvis, 2001]. These 50 vertical links between geospace (which extends from the ionosphere out to the Sun) and 51 the lower atmosphere are beginning to be explored and the MLT plays an important role 52 in the upward propagation of wave energy to the thermosphere [Lawrence et al., 2001]. 53 Within the MLT, the mesopause region (from ~80 to 110 km) is defined as the transition 54 between the mesosphere and the thermosphere and is also the coldest place anywhere in 55 Earth's atmosphere. In the mesopause region, atomic elements such as sodium (Na), 56 potassium (K) and calcium (Ca) are generated by the ablation of meteors during their 57 entry into the atmosphere. These elements provide neutral tracers that scientists can use 58 to observe the chemistry and dynamics of the MLT. The Colorado State University 59 (CSU) Na fluorescence lidar, one of the most advanced lidar systems of its kind, takes 60 advantage of the existence of sodium atoms in the mesopause region, and has observed 61 this part of the MLT for more than 15 years in an unprecedented manner, providing 62 valuable data for the study of this poorly understood layer of the atmosphere.

Based on nocturnal averages of varied duration in a night, lidar data have been used to compile climatology and deduce thermal structure of middle atmosphere and mesopause region, revealing the counter-intuitive, two-level temperature structure of the mesopause worldwide [Lübken and von Zahn, 1991; Yu and She, 1995; von Zahn and Höfner, 1996 and She and von Zahn, 1998]. The compilation of temperature climatology 68 based on extensive nocturnal observations over Fort Collins, CO [She et al., 2000] and 69 sites at varied latitudes [Leblanc et al., 1998] have been published. The study of She and 70 coworkers reveals an annual variation with low (high) altitude mesopause in summer 71 (winter), typical of high latitudes. The study of Leblanc and coworkers reveals a 72 semiannual oscillation typical of tropical latitudes. The climatological means deduced 73 from nocturnal observation are thought to be contaminated by diurnal tide [States and 74 Gardner, 1998] and the challenge of extracting tides from observation covering a fraction 75 of a day was already appreciated more than two decades ago [Corey and Forbes, 1983]. 76 The published diurnal temperature means deduced from observations in both day and 77 night [States and Gardner, 2000; Chen et al., 2000], on the other hand, suffered from 78 insufficient data as well as data gaps. At the same time, horizontal winds in the 79 mesopause region can be measured by MF and meteor radar [Franke and Thorsen, 1993; 80 Jacobi et. al., 2005]. Though considerable radar studies of horizontal wind tides exist in 81 the literature [Manson et al., 1989; Pancheva et al., 2002], radar and satellite studies of 82 the mean wind climatology have been rare [Franke and Thorsen, 1993; Swinbank and 83 Ortland, 2003].

The climatology we report in this paper is based not only on simultaneous observation of temperature, zonal and meridional winds, but also on full-diurnal-cycle observations, including only data sets that are continuous for more than 24 hours. This data set with over 3600 hours of observation is well distributed throughout the year, permitting the determination of true (or tidal removed) monthly mean temperature, zonal and meridional winds suitable for comparison with seasonal variations of the mean states derived from the General Circulation Models [see Garcia et al., 2005 and references

91 therein]. The paper is organized as follows. Lidar data distribution and analysis are given 92 in section 2, and the importance of full-diurnal-cycle observation for climatology is 93 illustrated with April observation in section 3. The selection and description of the 3 94 models, as well as the objectives of this comparison study are given in section 4, with 95 associated the results in section 5, before the conclusions in section 6.

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2. Lidar data distribution and analysis

97 The two-beam Na lidar at Colorado State University (41°N, 105°W) has observed full 98 diurnal cycles of the mesopause region temperature and horizontal wind in campaign 99 mode since May 2002, weather permitting [She et al., 2004]. The technical innovations 100 that incorporated a dual-path acousto-optic modulator and a Na vapor Faraday filter in 101 the Na lidar system that allows, respectively, Doppler-wind measurement and observation 102 under sunlit condition, have been described elsewhere [Arnold and She, 2003]. By April 103 2006, over 3600 hours of diurnal-cycle observations had been completed. The Na lidar signals of the north and east beams, each pointing 30° from zenith, consist of photon-104 105 count profiles of Na fluorescence, from which temperature, zonal and meridional winds 106 can be deduced. The photon-count profiles of each beam are first summed for each hour 107 and vertically smoothed using a Hanning window of 2 km full-width half-maximum 108 (FWHM) for data acquired at night, and of 4 km FWHM under sunlit conditions. The 109 measurement uncertainty for hourly temperature and line-of-sight wind under nighttime 110 clear-sky conditions between 84 and 100 km were estimated to be < 2 K and < 1.5 m/s in 111 summer and <1 K and 1 m/s in winter, respectively. Outside this altitude range, the 112 measurement uncertainty increases rapidly as the Na density decreasing dramatically with 113 the uncertainty typically increasing by about a factor of 2 at 103 km from its value at 100 km, and at 81 km in winter and at 82 km in summer from its value at 84 km. The measurement error under sunlit conditions is only 1.5 times larger at dawn and sunset and up to 10 times larger at local noon, and varies between these values at other times. Assuming that the hourly mean vertical wind is negligible, hourly mean profiles of the zonal wind are determined from the east beam measurements, of the meridional wind from the north beam, and of temperature obtained from the average of the temperatures measured by the two beams.

121 Based on hourly mean temperature and wind profiles from the data sets with 122 continuous observations of 24 hours or longer that were observed within a given month, 123 the least-squares fitting method is used to deduce the amplitude and phase of the diurnal, 124 semidiurnal, terdiurnal and quadiurnal tidal components. The tide is then removed to 125 calculate monthly mean values. The uncertainty for the monthly mean, and tidal amplitudes and phases is the result of error propagation, resulting from the measurement 126 127 error (photon noise) in each hourly-mean profile and geophysical variability (sometimes 128 termed geophysical noise) that inevitably exist between hourly-mean profiles in the 129 month through the linear least-square fitting analysis. The method and the nature of the 130 deduced uncertainty were previously discussed [She et. al., 2003]. The resulting 131 uncertainty in the monthly fitting mean, depending on the abundance of the Na layer and 132 the amount of data in each month, is expected, for this data set, to be comparable to the 133 measurement error of a nocturnal hourly mean. Since only data from full-diurnal-cycle 134 observation are used, the monthly means deduced from the linear least-square fitting 135 program are identical to those deduced from straight average of the monthly data, except 136 at the edges of the Na layer, where the error bars of nighttime measurements are much

137 smaller than those under sunlit condition. In this paper, we tabulate the mean-state values 138 in Table 1, and limit the reporting altitudes to include only those monthly fitting means 139 with small uncertainty, i.e., less than 3 K, 10 m/s and 5 m/s for temperature, zonal and 140 meridional winds, respectively. The average uncertainty of the observation altitude range 141 is also reported for each month in Table 1.

142 The data distribution for each month during this period is shown in Figure 1. 143 Different colors represent the number of hours of data for the years 2002 to 2006. The 144 shortest data set was in April, still longer than 7 days. The maximum amount of data was 145 in August, nearly 18 days.

146 **3. The significance of full-diurnal cycle observation**

147 Due to the prevalence of tidal period perturbations (24, 12, and 8 hours) in the 148 mesopause region, the importance and necessity of observation over complete diurnal 149 cycles for the purpose of establishing mean-state climatology cannot be underestimated. 150 The strong bias and influence of tidal perturbations on the mean-state based on averages 151 from nighttime observation of varied duration can best be illustrated by comparing 152 averages over different periods in a day and by examining the tidal amplitudes and phases 153 derived from data of full-diurnal-cycle observation. Here, we use the month of April as 154 an example, because the Na abundance in April is representative of the annual mean, 155 being higher than the summer values and lower than those in winter.

Figure 2 shows the vertical profiles of monthly mean temperature, zonal and meridional winds for April, respectively in (a), (b), and (c), along with the associated 12hour averages between 1800 and 0600 LST (local sun time), and between 0600 and 1800 LST, designated respectively, as nighttime average and daytime average. In Figure 2(a),

the 8-year nocturnal April mean temperature based on observations between 1991 and 161 1999 [She et al., 2000] is also included for comparison. Since April consists of only 7 162 cycles of full-diurnal observations, we restrict our reporting altitudes to be consistent 163 with those in table 1 with uncertainties of less than 3 K, 10 m/s and 5 m/s for diurnal-164 mean temperature, zonal and meridional winds, respectively.

165 We note in Fig. 2(a), the diurnal-mean temperature between 88 and 100 km is higher 166 (lower) than the daytime (nighttime) average by as much as 8 K; the opposite is true for 167 altitudes between 84 and 88 km but with a smaller difference of less than 4 K. Clearly, 168 the main difference between the diurnal, nighttime and daytime means is due to the 169 diurnal tide [Yuan et al., 2006]. The fact that the diurnal temperature tide peaks in the 170 nighttime (daytime) hours above (below) 88 km with an amplitude of ~5 K between 84 171 and 95 km, which increases to ~8 K at 100 km, as shown in Figs. 2(d) and 2(e), can 172 approximately explain the differences among the 3 means. However, the temperature of 173 the 8-year nocturnal-mean at 90 km is higher than the diurnal-mean by \sim 9 K. To account 174 for this difference, we note that the data from nocturnal observation of varied duration are 175 most likely centered about the midnight. Since, at 90 km, the diurnal tide of 5 K peaks at 176 ~ 0300 LST, and the semidiurnal tide of 3.5 K peaks at midnight (or midday), see Figs. 177 2(d) and 2(e), together they could arguably account for most of the difference of 9 K. We 178 acknowledge the difficulty in comparing nocturnal-mean to the diurnal-mean, especially 179 for data sets from different observational periods (1991-1999 vs. 2002-2006), since both 180 solar flux variability and global change [Schmidt et al., 2006] may play a role. 181 Nonetheless, from this example we conclude that the tidal effects are mainly responsible 182 for the differences deduced from data sets that cover only part of a full-diurnal cycle. This tidal behavior gives rise to a warmer night observed in figure 2(a), which is also
consistent with the effect of in situ nighttime chemical heating [Mlynczak and Solomon,
185 1993].

186 The tidal effects also influence mean zonal and meridional winds. Shown in Figs. 187 2(b) and 2(c) are the comparison between diurnal, nighttime and daytime means of the 188 horizontal wind field; the impact of diurnal tide again is clear. Although the diurnal-mean 189 meridional wind is much smaller than that of the zonal wind, the magnitude of their tidal 190 perturbations is comparable. The meridional wind tidal amplitudes for April are shown in 191 Fig. 2(f). Here, note the typical tidal behavior in a midlatitude location with semidiurnal 192 tide dominance above 90 km and diurnal tide dominance below 90 km, with smaller 193 amplitudes for terdiurnal and quadiurnal tides. The altitude dependence and the amplitude 194 of zonal wind tides (not shown) are in fact comparable. This shows the importance of 195 full-diurnal-cycle observation for the determination of mean-state climatology and points 196 out the significant differences between the diurnal-mean and nighttime averages, which 197 were typically deduced from data between 2000 and 2400, LST. If the climatology is 198 deduced from observations at the same local time, say at the midnight, as practiced in 199 rocket-falling sphere measurements [Lübken, 1999], it then should be treated as 200 climatology at a specified tidal phase, whose seasonal variation includes both variations 201 of the tidal phase, and the mean-state.

202 **4. Description of models**

203 Meteorologists have traditionally produced global circulation models that incorporate 204 the troposphere and stratosphere (surface to ~50 km), whereas space physicists have 205 produced global models incorporating the ionosphere, and thermosphere (from ~100 to

206 \sim 500 km). The current challenge is to develop a comprehensive atmospheric model that 207 covers the whole earth atmosphere from the surface up to the thermosphere [Roble, 208 2000]. The MLT region dynamics is the key for the success of such model. An ambitious 209 modeling initiative, called the Whole Atmosphere Community Climate Model 210 (WACCM), is underway at the National Center for Atmospheric Research in Boulder, 211 CO, to bridge this gap and has as its goal the simulation of the physics and chemistry of 212 the atmosphere from the ground to the thermosphere. The Whole Atmosphere 213 Community Climate Model version 3 (WACCM3) is a comprehensive model that 214 extends from the earth's surface to the lower thermosphere (~150 km). WACCM3 215 includes a detailed description of the troposphere using the physical parameterizations of 216 the NCAR Community Atmosphere Model (CAM3), and the chemistry of the middle 217 atmosphere using the Model of Ozone and Related Chemical Tracers 3 (MOZART-3) 218 scheme [48 compounds, 153 gas phase reactions in the version used here, see Kinnison et 219 al., (2006)]. WACCM3 implements a Lindzen gravity wave (GW) parameterization 220 scheme [Lindzen, 1981] to represent a spectrum of waves with phase speed from -80 to 221 +80 m/s (positive velocity is eastward, negative velocity is westward), launched from the 222 middle troposphere at 500 hPa (~5.5 km). The source spectrum is defined ad hoc to 223 produce realistic wind and temperature climatologies in the stratosphere and mesosphere 224 and includes a seasonal cycle and a latitudinal structure for additional realism. In the 225 standard implementation, the maximum source stress is exerted at the phase velocity that 226 matches the magnitude of the wind at source level and has a Gaussian profile in phase 227 velocity. Two simulations are presented here: a reference simulation ("ref") in which the 228 spectrum is used in its standard implementation [Garcia et al., 2007], and a second simulation in which the maximum source stress is not shifted to match the wind at the surce but is exerted at zero phase speed ("uns"). The results presented are obtained from a 20-year simulation under solar minimum conditions. While there is flexibility in the GW wave parameterization used in WACCM, the present tuning is not necessarily optimal. A sensitivity study of the middle atmosphere upon several different parameterizations of GW momentum drag has just been completed [Sassi et. al., 2007].

235 The second GCM considered in this work is the Hamburg Model of the Neutral and 236 Ionized Atmosphere (HAMMONIA). Its structure, complexity and purpose are similar to 237 WACCM. HAMMONIA extends from the surface to the thermosphere, up to about 250 238 km. HAMMONIA is a chemistry climate model (CCM) that combines dynamics and 239 physics from the ECHAM5/MAECHAM5 (European Centre Hamburg Model 5/Middle 240 Atmosphere European Centre Hamburg Model 5) general circulation model [Roeckner et 241 al., 2006] along with the MOZART3 chemistry scheme and several parameterizations to 242 account for important processes in the upper atmosphere, such as solar heating at very 243 short wavelengths (UV and EUV), non-LTE (local thermal equilibrium) effects in the 244 infrared cooling, molecular diffusion, and the ion drag. Gravity waves are parameterized 245 and launched at 700 hPa (~3 km), using a method proposed by Hines [1997a; 1997b] for 246 waves of non-orographic origin. Like WACCM3, the planetary wave effect in 247 HAMONNIA also comes from self-consistently generated lower-atmosphere dynamics 248 down to the earth's surface. The results presented here are obtained from a 20-year 249 simulation for present-day solar minimum conditions, as described by Schmidt et al. 250 [2006]. Vertical resolution in the mesopause region is about 2 to 3 km.

251 The third and final GCM for comparison is the Thermosphere-Ionosphere-252 Mesosphere-Electrodynamics General Circulation Model (TIME-GCM). It is a self-253 consistent GCM using solar forcing specified by daily solar F10.7 and it includes most of 254 the known chemistry in the mesosphere, thermosphere and ionosphere. The CO₂ infrared 255 cooling parameterization by Fomichev et al. [1998] is used to account for a variable CO₂ 256 mixing ratio that is important for a non-LTE process. In the thermosphere and 257 ionosphere, auroral inputs of particle precipitation and cross-polar cap potential drop are 258 parameterized according to the 3-hr K_p index. Unlike the other two models considered in 259 this study, TIME-GCM has a lower boundary in the middle stratosphere and extends to 260 the upper thermosphere. In the simulations employed here, the lower boundary at 10 hPa 261 (~ 30 km) is specified using daily National Center for Environmental Prediction (NCEP) 262 reanalysis data of geopotential height and temperature. The daily sampling can not 263 account for PW with periods shorter than 2 days or any tidal waves. The atmospheric 264 solar thermal tides at 10 hPa are also specified at the lower boundary, from the Global 265 Scale Wave Model (GSWM) [Hagan et al., 1999]. Similar to WACCM, a Lindzen type 266 gravity wave (GW) parameterization scheme with a discrete spectrum of gravity waves (phase speed from -60 to 60 ms⁻¹ at 10 ms⁻¹ intervals) of Gaussian spectral shape are 267 268 specified at the lower boundary [Liu and Roble, 2005]. Previous work [Liu and Roble, 269 2002] indicates that the zonal gravity wave spectrum needs to be anisotropic with the spectral peak at eastward 10 ms⁻¹ (but with the meridional spectrum still isotropic), so 270 271 that the simulated wind agree with the UARS wind measurements [Mclandress et al., 272 1996]. The altitude range covered by the model is 30-500 km, with the mesosphere/lower 273 thermosphere near the center of its numerical grid, allowing dynamical, chemical, and electrodynamical coupling between the thermosphere and mesosphere to occur without major boundary influences. The TIME-GCM data presented in this paper is based on the simulation with NCEP re-analysis input of 2003, so we name it as TIME-GCM 2003 simulation.

278 5. Comparison of observations with models

279 In this section, we compare observations with model predictions using altitude-month 280 contour plots. Among the many unique features of mesopause dynamics, the most 281 interesting are the lower and colder summer mesopause, the higher and warmer winter 282 mesopause, the reversal of zonal wind direction, and reversal of the pole-to-pole 283 meridional wind direction between winter and summer. In what follows, we will focus 284 our discussion on the differences in these features between observation and model 285 predictions. To highlight the behavior, we mark the 200 K, 0 m/s line with bold lines in 286 these contours. In lidar observations the geometric height is determined directly from the 287 laser pulse time-of-flight, whereas models tend to employ either geopotential height or 288 (isobaric) log-pressure altitude. In this study HAMMONIA makes a conversion from 289 geopotential height to geometric height while WACCM and TIME-GCM do not. We note 290 that even though there are differences between the geopotential height and geometric 291 height (at 100 km, the geometric height is about \sim 1.5 km higher than the geopotential 292 height and this difference will get smaller in lower altitudes); these differences are 293 considered minor in this paper because they are relatively much smaller than the 294 discrepancies between model-observation and model-model comparisons as discussed 295 below. In the contour plots, the altitudes used are geometric heights for both lidar and 296 HAMMONIA, while they are geopotential heights for WACCM3 and TIME-GCM. However, we will use equivalent geometric heights for both WACCM3 and TIME-GCM in the discussion below. Other differences between the models include the fact that both HAMMONIA and WACCM3 provide zonally averaged monthly mean at 41°N, while TIME-GCM is the monthly mean based on one year (2003) simulation at a location (42.5°N, 105°W) close to Fort Collins, CO. In Figures 3 and 4, the contours from lidar observations are shown in the middle column, with temperature, zonal and meridional winds in the top, middle and lower rows, respectively.

a. Comparison of lidar observations with WACCM3

305 Figure 3 shows the comparison between lidar data and WACCM3 results using two 306 versions of GW simulations. Glancing over these two versions of WACCM3 (the right 307 and left column), it is evident that various GW simulation schemes in the model can 308 generate dramatic differences. The temperature contours (top row) show that the model 309 predictions ("uns" in the left column, and "ref" in the right column) and the lidar 310 observations (the middle column) exhibit similar temperature seasonal variations: cold 311 and lower altitude summer mesopause, and warmer and higher altitude winter 312 mesopause. The thermal structure of the "uns" simulation is better in this regard. The 313 lidar summer mesopause temperature is 167 K, observed in June at 84 km. This may be 314 comparable to the "uns" simulation of WACCM3, which yields a summer mesopause 315 (i.e., altitude of minimum temperature) that is 3 km lower and 1 K warmer in June, 316 compared with lidar results. For the standard gravity wave simulation ("ref" version), the 317 summer mesopause is even lower (by 8 km relative to lidar) and about 2.5 K warmer at 318 the same month. During the winter, the mesopause location is again higher in the lidar 319 observations (at 101 km, 173 K in January) than in WACCM3 results (99 km, in 320 January). The winter mesopause temperature observed by lidar is about 19 (24) K colder 321 than the prediction from "ref." ("uns"). As was the case for temperature, both the model 322 and the lidar data show clear summer-winter difference in the zonal wind field (middle 323 row), i.e., eastward in the summer and westward in the winter; their vertical structures, 324 however, are quite different, especially in winter. Unlike the temperature comparison, the 325 "ref" version is qualitatively more similar to what the lidar observed, with the same peak 326 zonal wind during the summer, but its summer-winter contrast below 90 km is less 327 dramatic than what is observed. The difference in zonal wind between the "uns" version 328 of WACCM3 and the lidar data is significant. In this version of WACCM3, although the 329 wind direction is the same, the peak magnitude of the zonal wind is much larger than that 330 of the lidar observations, 71 m/s at 102 km vs. 48m/s at 94 km in summer, and -42 m/s at 331 99 km vs. -23 m/s at 102 km in winter. We would expect the zonal wind to change its 332 direction in the mesopause region due to the body force produced by the dissipation of 333 the gravity waves that are propagating upward from the troposphere. However, in this 334 "uns" version of WACCM3, the zonal wind reverses its direction at ~ 60 km (not shown) 335 during the winter, which is about 30 km below the reversal observed by lidar. Next, we 336 consider the meridional wind (bottom row). The model results exhibit the general trends 337 of the observation, revealing the balance between the Coriolis force and the body force 338 resulting from the deposition of momentum of upward propagating gravity waves, 339 leading to a prevailing meridional flow from the summer pole to the winter pole. The 340 observed southward wind in summer is stronger than the model predicts though. If one 341 examines the model data at lower altitudes, it is clear that the altitudes of meridional wind 342 extremes in both of the model's versions are lower than those observed. For example, the 343 summer maximum meridional wind speed at 87 km in the lidar observation corresponds 344 to minima located at 76 km in the "ref" version, and at 81 km in the "uns" version. Both 345 zonal-mean simulations captured the apparent asymmetry between spring and autumn in 346 meridional wind revealed by the lidar observation.

In summary, the comparison of WACCM3 to the lidar data shows that the altitude of the summer mesopause in WACCM is low, and the "uns" simulation shows a mesopause somewhat higher and colder than the "ref". Differences between the two simulations and the observation, in both mesopause altitudes and zonal wind magnitudes, suggest that the properties of the source spectrum (its magnitude and spectral character) are critical in order to simulate a realistic mesopause.

353 b. Comparison of lidar observations with HAMMONIA

354 The HAMMONIA (Figure 4; left column) temperature monthly mean contour plot 355 (top row) shows a seasonal variation that is quite similar to the lidar observation. 356 However, the summer mesopause temperature is about 10 K colder in HAMMONIA than 357 in the lidar data and its altitude is about 3 km lower in this model, compared to 3 and 8 358 km in the "uns" and "ref" versions of WACCM3. The winter mesopause in 359 HAMMONIA is 1 km higher and 11 K warmer than in the lidar data. For the zonal wind 360 field (middle row), the altitude of the observed peak zonal wind in summer and that of 361 the zonal wind reversal in winter are well predicted by HAMMONIA within 1 km or 2.5 362 km, respectively. During summer, both indicate the reversal altitude at 83 km. However, 363 the value of summer peak zonal wind predicted by HAMMONIA (about 75 m/s), while 364 comparable to the 'uns' simulation of WACCM, is considerably larger than that observed 365 by the lidar (48 m/s). In the meridional wind comparison (third row), the HAMMONIA 366 (left column) again predicts the same seasonal variation as observed by the lidar with a367 comparable maximum wind speed, but at a lower altitude relative to the lidar observation.

368 *c*. Comparison of lidar observations with TIME-GCM

369 Even though the TIME-GCM is quite different from the other two models considered 370 in this work, the TIME-GCM 2003 simulation (right column) predicts a seasonal 371 variation of temperature similar to that of HAMMONIA, except that the summer 372 mesopause altitude is 1 km lower (but ~7 K colder) than what the lidar observes as 373 compared to 3 km lower and 10 K cooler for HAMMONIA. It is interesting to notice 374 that, compared to both the HAMMONIA and TIME-GCM, the lidar measures a warmer 375 (colder) summer (winter) mesopause temperatures. For zonal wind seasonal variations, 376 TIME-GCM predicts almost the same summer zonal wind peak altitude, but with a peak 377 eastward wind speed (~ 30 m/s) much slower than both the lidar observation and the 378 HAMMONIA prediction. The TIME-GCM 2003 simulation shows that the zonal wind 379 reverses its direction at about 84 km during the summer and at about 104 km in the 380 winter; they are, respectively, the same and about 10 km higher than lidar observation. 381 The TIME-GCM model shows an abrupt change in zonal wind magnitude during both the 382 spring and autumn equinoxes, whereas the corresponding magnitude changes are not as 383 dramatic as in either the HAMMONIA prediction and lidar observation. Compared to the 384 observed meridional winds, the TIME-GCM result (right column) shows a seasonal 385 variation with higher spring-autumn symmetry and less variability compared to lidar and 386 other models.

387 We note that hydrostatic equilibrium is built into the models (that is, the vertical 388 momentum equation is replaced by the hydrostatic equation), but geostropic balance is

389 not. However, geostrophic balance has been shown to be generally valid for in the mid-390 latitude mesopause region [Lieberman, 1999; Oberheide et al., 2002]. Therefore, at 391 midlatitudes winds and temperatures should approximately be consistent with 392 geostrophic balance. However, since the relationship involves a horizontal derivative of 393 temperature, we are unable to evaluate horizontal gradient of temperature from 394 measurements at a single site, and thus are unable to determine whether the thermal wind 395 relationship is valid from data. The assessment on the implication of geostrophic balance 396 on model-data comparison between wind and temperature is not straightforward.

397

6. Discussion and conclusion

398 Based on 120 full-diurnal-cycle observations of the mesopause region, well 399 distributed throughout the year, we present monthly mean temperature, zonal wind and 400 meridional wind with the tidal-period perturbations removed. The results are in 401 qualitative agreement with our current understanding of the mesopause region thermal 402 and dynamical structure. The observed monthly mean mesopause region temperature, 403 zonal and meridional winds between 76 and 104 km are tabulated in Table 1 at 1 km 404 interval. The observations are compared to three general circulation models, WACCM, 405 HAMMONIA and TIME-GCM. In general, the models captured the structure of the two-406 level mesopause [She and von Zahn, 1998] with sharp winter-summer transitions in all 407 three dynamical fields. However, some discrepancies exist between models and 408 observation as well as among model predictions. For example: the summer mesopause 409 altitude observed by the CSU lidar, 84 km, is about 3 and 8 km higher in geometric 410 altitude than the predictions of WACCM3 "uns" and "ref" simulations, respectively; it is 411 3 km higher than the HAMMONIA and 1 km higher than TIME-GCM predictions. The 412 observed winter mesopause temperature, 173 K, is about 19 (24) K cooler than the 413 prediction of WACCM3 "ref" ("uns"), but only ~10 K cooler than TIME-GCM and 414 HAMONNIA predictions. Owing partially to the difference in model's dataset 415 presentation (zonal mean vs. a single location), detailed examination of the difference 416 contours between observation and models in temperature and zonal/meridional wind is 417 not warranted at this stage of model development. However, quantitative comparisons 418 that capture the major differences can be made in terms of summer and winter mesopause 419 altitude and temperature, summer peak zonal wind magnitude and altitude, altitude of 420 winter zonal wind reversal, and summer peak meridional wind magnitude and altitude. 421 These differences are summarized in Table 2. Not shown in this Table is the apparent 422 seasonal asymmetry with higher degree of variability in the observed meridional wind. 423 This observed variability is likely due to planetary waves initiated near the earth surface 424 that impact the general circulation above. In this regard, a free running GCM, like 425 WACCM3 and HOMMONIA, with the planetary wave effects generated self-consistently 426 from lower-atmosphere dynamics, may yield a higher degree of variability and seasonal 427 asymmetry, thereby closer to the observed variability than a model, like TIME-GCM, 428 with planetary wave influences forced at the lower boundary by daily input of NCEP re-429 analysis.

Because mesospheric dynamics are believed to be controlled largely by gravity wave behavior, the differences in gravity wave input between these three models are significant. Not only are the schemes for gravity wave parameterization different (WACCM and TIME-GCM use Lindzen's scheme, while HAMONNIA uses Hines' scheme), their launch altitudes are also different (at 700 hPa, 500 hPa and 10 hPa,

435 respectively, for HAMONNIA, WACCM and TIME-GCM). The tuning of gravity wave 436 spectra giving rise to different wind filtering, could lead to a difference between the 437 different simulations in WACCM as large as that between different models. The 438 difference in PW influences among models can change the longitudinal distribution of 439 GW forcing due to the fact that PWs modify the stratospheric winds and thus alteri the 440 filtering of GWs, as they propagate upwards [Dunkerton and Butchart, 1984; Smith, 441 1996]. Therefore, PW filtering also provides a possibility for the differences among 442 models, more so in winter, since the quasi-stationary PW cannot propagate through 443 summer easterlies. All of these complications could result in differences between models, 444 and model-observation in a way difficult to sort out without further and more focused 445 model studies.

446 The full-diurnal-cycle observations by the Na lidar at Colorado State University 447 contain enough data in a four-year period to provide tidal-removed mesopause region 448 monthly mean temperature and horizontal wind, and to derive the seasonal variations in 449 the mean-state of these fields. It is evident that the uncertainty bars for lidar-observed 450 mean temperature, zonal and meridional winds are smaller than both model-lidar and 451 inter-model discrepancy, suggesting that at this stage of model development, the gravity 452 wave parameterizations and other interactive inputs to the model still need to be improved and fine-tuned to produce more realistic predictions. However, all three models 453 454 do capture the general altitude and seasonal structure of the mesopause region, as 455 observed by lidar. Some models, like HAMMONIA and TIME-GCM, appear to 456 outperform WACCM3 in this comparison. On the other hand, the source spectrum 457 approach, along with the ability to shift the maximum stress to specific gravity wave458 phase speed, shows that improvements to the WACCM3 model are possible as well.

459 Comparative studies between observations and models, which provide a reality check, 460 are useful and necessary steps in model evaluation and improvement, as already 461 demonstrated by plots comparing winds between TIME-GCM and ground-based (radar) 462 and satellite (UARS/HRDI) observations [Roble, 2000]. Although we are comparing 463 observations from one location within a certain region of earth atmosphere with global 464 scale whole atmospheric models, this type of comprehensive study is very useful in terms 465 of model evaluation, and more comparisons are needed. This comparative study with both temperature and horizontal wind fields is the first step to reveal the importance of 466 467 full-diurnal-cycle observation on the one hand, and the differences between models and 468 observations as well as those between different models, on the other. The conclusion that 469 the models capture the main features of the observations supports our understanding of 470 the basic atmospheric processes, whereas the discrepancies reveal the physical 471 differences between different models and at the same time provide guidance to fine-tune 472 and improve the parameterization of gravity wave sources and spectra of each model 473 presented here.

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609 **Figure captions**

Fig. 1. Quantity of Na-lidar full-diurnal observations in each moth of the year (May 2002
April 2006)

612 Fig. 2. The climatology in the mesopause region observed by Na-lidar at Colorado State 613 University during the month of April. (a) Comparison of diurnal-mean (red solid 614 circles with uncertainty bars), and 8-year nocturnal-mean (black solid diamonds), 615 along with daytime-average (0600 – 1800, LST; blue solid circles) and nighttime-616 average (1800 – 0600, LST; black solid circles) temperatures. (b) and (c) are 617 diurnal-mean, daytime-average and nighttime-average zonal and meridional 618 winds, respectively. (d) and (e) are the amplitudes and phases of temperature 619 diurnal and semidiurnal tides, and (f) gives the amplitudes of diurnal, semidiurnal, 620 terdiurnal, and quadirunal meridional wind tides.

Fig. 3. Comparison between Na-lidar observations with WACCM3 predictions. Row:
top-temperature, middle-zonal wind, bottom-meridional wind. Column: leftWACCM3_"uns", middle-Na_lidar, right-WACCM3_"ref". Positive winds
eastward for zonal wind and northward for meridional wind

Fig. 4. Comparison between Na-lidar with HAMMONIA and TIME-GCM predictions.
Row: top-temperature, middle-zonal wind, bottom-meridional wind. Column: leftHAMMONIA, middle-Na_lidar, right-TIME-GCM. Positive winds eastward for
zonal wind and northward for meridional wind.